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PTO/SB/21 (08-03)

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<b>TRANSMITTAL</b>
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/705,989	
Filing Date	November 12, 2003	,
First Named Inventor	Lilip Lau	
Art Unit	3736	
Examiner Name	Unassigned	
Attorney Docket Number	PARCR 65989	

ENCLOSURES (check all that apply)						
Fee Transmittal Form	Drawing(s)	After Allowance communication to Group				
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply				
After Final	Petition to Convert a Provisional Application	Proprietary Information				
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter				
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):				
Express Abandonment Request	Request for Refund	Postcard 2 References				
Information Disclosure Statement	CD, Number of CD(s)					
Certified Copy of Priority Document(s)	Remarks					
Response to Missing Parts/ Incomplete Application	24201					
Response to Missing Parts under 37 CFR 1.52 or 1.53		,				
SIGNATU	RE OF APPLICANT, ATTORNEY, OR AGEN	NT				
	ON LEE & UTECHT, LLP					
or Individual name						
Signature John N	Signature John Wagy					
Date February 10, 2004	01					

Typed or printed name

JOHN S. NAGY, ESQ.

Signature

Date

February 10, 2004

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/705,989

Applicant

: Lilip Lau, William M. Hartigan

Filed

: November 12, 2003

Art Unit

: 3736

Examiner

: Unassigned

Title

EXPANDABLE CARDIAC HARNESS FOR TREATING

**HEART FAILURE** 

Docket No.:

: PARCR 65989

Customer No.

: 24201

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08a have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and §1.98(d).

This application is a continuation of U.S. Serial No. 09/952,116 which issued as U.S. Patent No. 6,663,558 on December 16, 2003, which is a continuation of

U.S. Serial No. 09/634,043 filed August 8, 2001. A copy of each the PCT patents are enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Bv:

ohn S. Nagy

Registration No. 30,664

JSN:jeb

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known					
Application Number	10/705,989				
Filing Date	November 12, 2003				
First Named Inventor	Lilip Lau				
Art Unit	3736				
Examiner Name	Unassigned				
Attorney Docket Number	PARCR 65989				

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Document Number  Number Kind Cod (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US- 6,165,122	12/26/00	Alferness			
		US- 6,206,820	03/27/01	Kazi et al.			
		US- 6,370,429	04/09/02	Alferness et al.			
		US- 6,375,608	04/23/02	Alferness			
		US- 6,482,146	11/19/02	Alferness et al.			
		US- 6,537,203	03/25/03	Alferness et al.			
,		US- 6,544,168	04/08/03	Alferness			
		US- 6,547,821	04/15/03	Taylor et al.			
		US- 6,564,094	05/13/03	Alferness et al.	·		
		US- 6,567,699	05/20/03	Alferness et al.			
		US- 6,569,082	05/27/03	Chin			
,		US- 6,572,533	06/03/03	Shapland et al.			
		US- 6,575,921	06/10/03	Vanden Hoek et al.			
		US- 6,579,226	06/17/03	Vanden Hoek et al.			
		US- 6,582,355	06/24/03	Alferness et al.			
		US- 6,587,734	07/01/03	Okuzumi			
		US- 6,645,139	11/11/03	Haindl			
		US- 6,595,912	07/22/03	Lau et al.			
		US- 6,602,184	08/05/03	Lau et al.	()		
		US- 6,612,979	09/02/03	Lau et al.			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No.1	Office	Foreign Patent Do	Cument Kind <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
		PCT	WO 02/19917 A1		03/14/02	Whayne et al.				
		PCT	WO 02/059007		08/01/02	Lown et al.				
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Examiner	Date	
Signature	Considered	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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			U.S. PAT	ENT DOCUMENTS	
Examiner Initials			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,663,558	09/10/01	Lau et al.	
		US- 6,673,009	01/06/04	Vanden Hoek et al.	,
		US- 6,682,474	01/27/04	Lau et al.	
		US- 6,682,476	01/27/04	Alferness et al.	
		US- 2001/0029314	10/11/01	Alferness et al.	
		US- 2001/0047122	11/29/01	Vanden Hoek et al.	
		US- 2002/0082647	06/27/02	Alferness et al.	
		US- 2002/0091296	07/11/02	Alferness	
		US- 2002/0103511	08/01/02	Alferness et al.	
		US- 2002/0151950 ·	10/17/02	Okuzumi	
		US- 2003/0199733	10/23/03	Shapland et al.	
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	FOREIGN PATENT DOCUMENTS								
	Cite	F	oreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Examiner Initials	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	MM-DD-YYYY Applicant of Cited Document		Passages or Relevant Figures Appear		
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Examiner	Date	`
Signature	Considered	

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